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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,950         | 11/13/2001          | Li-Qun Xia            | AMAT/6392/DD/LOW K/JW  |

CONFIRMATION NO. 5694

## FORMALITIES LETTER



\*OC00000007330887\*

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. BOX 450A  
Santa Clara, CA 95052

COPY OF PAPERS  
ORIGINALLY FILED  
REC'D JAN 28 2002

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/26/2002 BABRAHA1 00000094 501074 10010950

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:105 130.00 CH  
03 FC:103 90.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.  
    ■ \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 960.**

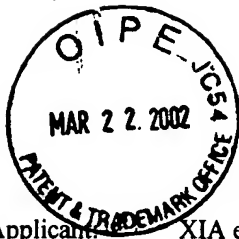
*A copy of this notice **MUST** be returned with the reply.*

*C. Vorace/WK*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: XIA et al.

Assignee: Applied Materials, Inc.

Title: A METHOD OF DEPOSITING LOW K BARRIER FILMS

Serial No.: 10/010,950

Filed: 11/13/2001

Group Art Unit: 2812

Atty. Docket: 6392/DD/LOWK/JW

Examiner: Unknown

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Santa Clara, Calif.  
March 12, 2002

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 01/18/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. 3 SEPARATE Combined Declaration and Power of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (12 pages)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

|   |          |
|---|----------|
| <input checked="" type="checkbox"/> Filing Fee - Original Patent Application [37 CFR 1.16(a)] | \$740.00 |
| <input checked="" type="checkbox"/> Fees for Claims:  |          |
| <input type="checkbox"/> each independent claim in excess of 3 [37 CFR 1.16(f)]               |          |
| <input checked="" type="checkbox"/> each claim in excess of 20 [37 CFR 1.16(c)]               | \$90.00  |
| <input type="checkbox"/> each multiple dependent claim(s) [37 CFR 1.16(d)]                    |          |

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[X] Late filing of original declaration or oath 37 CFR 1.16(e).

\$130.00

Total fees:

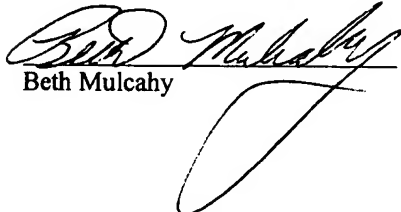
\$960.00

[X] The Commissioner is hereby authorized to charge **\$960.00**  
**and any additional fees** which may be required, or credit  
any overpayment, to Deposit Account No. 50-1074.

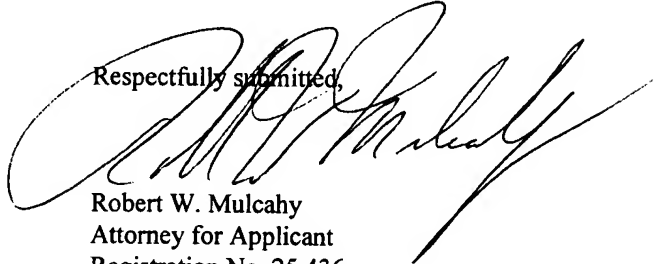
It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 11/13/2001. Please telephone the undersigned at (408)-563-2726 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.  
Legal Affairs Department  
3050 Bowers Ave., MS/2634  
Santa Clara, CA. 95054

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to the  
Commissioner of Patents and Trademarks,  
Washington, D.C., 20231, on March 12, 2002.

  
Beth Mulcahy

Respectfully submitted,

  
Robert W. Mulcahy  
Attorney for Applicant  
Registration No. 25,436